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Parametric Yield Estimation Considering Leakage Variability

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Abstract

Leakage current has become a stringent constraint in modern processor designs in addition to traditional constraints on frequency. Since leakage current exhibits a strong inverse correlation with circuit delay, effective parametric yield prediction must consider the dependence of leakage current on frequency. In this paper, we present a new chip-level statistical method to estimate the total leakage current in the presence of within-die and die-to-die variability. We develop a closed-form expression for total chip leakage that models the dependence of the leakage current distribution on a number of process parameters. The model is based on the concept of scaling factors to capture the effects of within-die variability. Using this model, we then present an integrated approach to accurately estimate the yield loss when both frequency and power limits are imposed on a design. Our method demonstrates the importance of considering both these limiters in calculating the yield of a lot.

Categories and Subject Descriptors
B.8.2 [Performance and Reliability]: Performance analysis

General Terms
Performance, reliability, measurement

Keywords
Leakage, variability, parametric yield

1 Introduction

Continued scaling of device dimensions combined with shrinking threshold voltages has enabled designers to produce integrated circuits (ICs) that contain hundreds of millions of devices. However, this has also resulted in an exponential rise of IC power dissipation. This increase is substantially due to leakage which is emerging as a significant portion of the total power consumption. It is estimated that the subthreshold leakage power will account for 50% of the total power for portable applications developed for the 65nm technology node [1]. In future technologies, aggressive scaling of the oxide thickness will lead to significant gate oxide tunneling current, further aggravating the leakage problem. Across successive technology generations, subthreshold leakage increases by about 5X [2] while gate leakage can increase by as much as 30X.

At the same time, the increased presence of parameter variability in modern designs has accentuated the need to consider the impact of statistical leakage current variations during the design process. For $\pm 10\%$ variation in the effective channel length of a transistor, there can be up to a 3X difference in the amount of subthreshold leakage current [3]. Gate leakage current exhibits an even greater sensitivity to process variations, showing a 15X difference in current for a 10% variation in oxide thickness in a 100nm BPTM process technology [4]. Hence, considerable variability in chip level leakage current is expected and measured variations as high as 20X have been reported in the literature [5].

In current designs, the yield of a lot is typically calculated by characterizing the chips according to their operating frequency. The subsets of dies that do not meet the required performance constraint are rejected, making this aspect of the design process very important from a commercial point of view. However, it has been observed [5] that among the “good” chips that meet the performance constraint, a substantial fraction dissipate very large amounts of leakage power and thus are unsuitable for commercial usage. This is due to the inverse correlation between circuit delay and leakage current. Devices with channel lengths smaller than the nominal value have reduced delay while at the same time incurring vastly increased leakage current resulting in higher leakage dissipation for chips with high operating frequencies.

This inverse correlation is illustrated in Figure 1, which shows the distribution of chip performance and leakage based on silicon measurements over a large number of samples of a high-end processor design [5]. Both the mean and variance of the leakage distribution increase significantly for chips with higher frequencies. This trend is particularly troubling since it substantially reduces the yield of designs that are both performance and leakage constrained. Hence, there is a need for accurate leakage yield prediction methods that model this dependency.

Several statistical methods have been suggested to estimate the full chip leakage current. In [6], the authors consider within-die threshold voltage variability to estimate the full chip subthreshold leakage current. A compact current model is used in [7] to estimate the total leakage current. In [8], the authors present analytical equations to model subthreshold leakage as a function of the channel length of the transistor. A moment-based approximation approach is used to estimate the mean and variance of leakage current in [9] and [3]. However, none of these methods provide exact mathematical equations to express the chip leakage and furthermore, they do not consider the dependence of leakage on frequency.

In this paper we develop a complete stochastic model for leakage current that includes the effects from multiple sources of variability and captures the dependence of the leakage current distribution on operating frequency. We consider the contribution from both inter- and intra-die process variations and model total leakage as consisting of both subthreshold and gate oxide tunneling leakage. The within-die

Figure 1. Leakage and frequency variations (Source: Intel)
component of variability is modeled using a scaling factor. We derive a closed-form expression for the total leakage as a function of all relevant process parameters. We also present an analytical equation to quantify the yield loss when a power limit is imposed. This method precludes the need to use circuit simulation to characterize the leakage current of a chip and enables the designer to budget for yield loss before the chip is sent to production. The proposed analytical expression is then compared with Monte-Carlo simulation using SPICE simulation of a large circuit block to demonstrate its accuracy. Finally, we construct yield curves to accurately estimate the number of chips that satisfy both power and frequency constraints.

The remainder of this paper is organized as follows. In Section 2, we present the model for full chip total leakage. The models for subthreshold and gate leakages are presented separately. In Section 3 we derive analytical equations to describe the yield prediction of a lot based on our leakage model. In Section 4 we present results and in Section 5 we conclude the paper.

2 Full Chip Leakage Model

In this section, we present an analytical model to determine the total leakage current of a chip. We model the leakage current as a function of different process parameters. First, we note that the total leakage current is a sum of the subthreshold and gate leakages:

\[ I_{\text{tot}} = I_{\text{sub}} + I_{\text{gate}} \]  
(EQ 1)

Recently, it has been noted that other types of leakage current, such as Band-to-Band Tunneling (BTBT), may become prominent in future process technologies [7]. Although we do not model this type of leakage in this paper, our analysis can be easily extended to include additional leakage components.

In the subsequent sections, we model each type of leakage separately. We express both types of leakage current as a product of the nominal value and a multiplicative function that represents the deviation from the nominal due to process variability.

\[ I_{\text{leakage}} = I_{\text{nominal}} \cdot f(\Delta P), \]  
(EQ 2)

where \( P \) is the process parameter that affects the leakage current \( I_{\text{leakage}} \). In general, \( f \) is a non-linear function. Since estimation methods based directly on BSIM models [10] are often overly complex, we use carefully chosen empirical equations in our analysis to provide both efficiency and accuracy.

We further decompose parameter \( P \) into two components:

\[ \Delta P = \Delta P_{\text{global}} + \Delta P_{\text{local}}, \]  
(EQ 3)

where \( \Delta P_{\text{global}} \) models the global (die-to-die or inter-die) process variations while \( \Delta P_{\text{local}} \) represents the local (within-die or intra-die) process variations. In a typical manufacturing process, both \( \Delta P_{\text{global}} \) and \( \Delta P_{\text{local}} \) are generally modeled as independent normal random variables making \( \Delta P \) also a normal random variable. Since we are only dealing with the deviation from nominal, \( \Delta P \) is a zero mean variable. If \( P \) is the effective channel length, then \( \Delta P_{\text{local}} \) is the so-called Across Chip Length Variations (ACLV). For simplicity of notation, we let \( \Delta P_{\text{global}} = \lambda_{g} \) and \( \Delta P_{\text{local}} = \lambda_{l} \).

2.1 Subthreshold Leakage

Subthreshold leakage current \( I_{\text{sub}} \) refers to the source-to-drain current when the transistor has been turned “off”. As is well known, \( I_{\text{sub}} \) has an exponential relationship with the threshold voltage \( V_{th} \) of the device as shown below in EQ4.

\[ I_{\text{sub}} = I_{\text{nominal}} \cdot e^{f(\Delta V_{th})} \]  
(EQ 4)

For the 0.13um technology node, even small variations in \( V_{th} \) can result in leakage numbers that differ by 5-10X from the nominal value.

Threshold voltage is a technology-dependent variable that must be expressed as a function of a number of parameters. The standard BSIM4 description [10] expresses \( V_{th} \) as a function of several process parameters including effective channel length \( L_{\text{eff}} \), doping concentration \( N_{\text{sub}} \), and oxide thickness \( T_{ox} \). Among these parameters, the variation in \( L_{\text{eff}} \) has the greatest impact as noted in [8]. A second order, but still significant portion of the variation in \( V_{th} \) occurs due to fluctuations in doping concentration that result in different values of the flat band voltage \( V_{fb} \) for different transistors on the chip [3]. Finally, oxide thickness is a fairly well-controlled process parameter and does not influence subthreshold leakage significantly. In our approach we model \( \Delta L_{\text{eff}} \) and \( \Delta V_{th,N_{\text{sub}}} \) as independent normal random variables since they are independent physical parameters. The variation in \( V_{th} \) is expressed as an algebraic sum of two terms:

1. \( f(\Delta L_{\text{eff}}) = \) the variation in effective channel length of the device
2. \( f(\Delta V_{th,N_{\text{sub}}}) = \) the variation in \( V_{th} \) due to doping concentration

\[ f(\Delta V_{th,N_{\text{sub}}}) = f(\Delta V_{th}) + f(\Delta V_{th,N_{\text{sub}}}) \]  
(EQ 5)

While there is a minor dependency between these functions, the amount of error introduced as a result of this independence assumption was found to be negligible.

Previously, leakage was modeled as a single exponential function of the effective channel length [6], but as the authors show in [8] a polynomial exponential model is much more accurate in capturing the dependence of leakage on effective channel length. Hence, we use a quadratic exponential model to express \( f(\Delta L_{\text{eff}}) \). On the other hand, for \( f(\Delta V_{th,N_{\text{sub}}}) \) we determined from circuit simulations that a linear exponential model is sufficient. For simplicity of notation, let \( \Delta L_{\text{eff}} = L \) and \( \Delta V_{th,N_{\text{sub}}} = V \). Using this we can rewrite EQ4:

\[ f(\Delta L_{\text{eff}}) = \frac{-(L+c_{2}L_{eff}^{2})}{c_{1}} \quad f(\Delta V_{th,N_{\text{sub}}}) = \frac{c_{2}+c_{3}V}{c_{1}} \]  
(EQ 6)

\[ I_{\text{sub}} = I_{\text{sub,nom}} \cdot e^{\frac{-(L+c_{2}L_{eff}^{2})}{c_{1}}} \times e^{\frac{c_{2}+c_{3}V}{c_{1}}} \]  
(EQ 7)

Here, \( c_{1}, c_{2}, c_{3} \) are fitting parameters and \( I_{\text{sub,nom}} \) is the subthreshold leakage of the device in the absence of any variability. The negative sign in the exponent is indicative of the fact that transistors with shorter channel lengths and lower threshold voltage produce higher leakage current.

Using EQ3 we decompose \( L \) and \( V \) into local \( (L_{g}, V_{g}) \) and global \( (L_{l}, V_{l}) \) components and we write the \( I_{\text{sub}} \) equation as follows:

\[ I_{\text{sub}} = I_{\text{sub,nom}} \cdot e^{\frac{L_{g}+c_{2}L_{eff}^{2}+c_{3}V_{g}}{c_{1}}} \times e^{\frac{c_{2}+c_{3}V_{l}}{c_{1}}} \]  
(EQ 8)

\( I_{\text{sub}} \) is the subthreshold leakage of a single device with unit width. The mapping from \( c_{i} \) to \( \lambda_{i} \) (for \( i = 1, 2, 3 \)) is given by \( \lambda_{i} = \psi c_{i} \) where \( \psi = 1/(1+2c_{2}L_{g}) \).

To calculate the total subthreshold leakage for a chip we need to add the leakages device-by-device, considering that each device has unique random variables \( L_{g} \) and \( V_{g} \) with all other devices. We first focus on the variability in
local process parameters \( L_f \) and \( V_h \), assuming that \( L_g \) and \( V_g \) are fixed values. EQ7 suggests the well-known fact that the subthreshold leakage distribution of a single transistor has a lognormal distribution. The total subthreshold leakage is then a sum of all these independent lognormal terms and if the number of lognormals is large enough, the variance of their sum approaches zero. Consequently, we use the Central Limit Theorem [11] to approximate the distribution of this sum of lognormals by a single number - the mean of the distribution. Modern CMOS designs contain millions of devices that are distributed over a relatively large area on the chip. Thus, we can substitute the sum of leakages over all devices with the mean value of \( I_{sub} \) over the complete range of \( L_f \). This mean value is a simple scaling factor that describes the relation between \( I_{sub} \) and \( L_f \). Local variations are often spatially correlated, meaning that devices that are positioned close together have positive correlation. However, as long as there are sufficient independent regions on a die (as is typically the case) the Central Limit Theorem can be applied. We use a similar method to calculate the scaling factor for the local variability of each process parameter.

To calculate the scaling factor, we need to find an exact expression for the expected value (mean) of \( I_{sub} \) by considering it as a function of the two (independent) random variables \((V_f, L_f)\). We write a double integral to calculate the mean:

\[
E[I_{sub}] = \int_{-\infty}^{\infty} \left( \int_{-\infty}^{\infty} g(L_f) \cdot PDF(V_f) \cdot dL_f \right) g(V_f) \cdot PDF(V_f) \cdot dV_f
\]

In this equation, the terms containing \( L_f \) and \( V_f \) are constant for a given chip. The above integrals can be solved in closed-form and result in the expressions given in EQ21 and EQ23 of the Appendix. We obtain \( I_{sub} = E[I_{sub}] = S_L \cdot S_V \cdot I_{Lg} \cdot I_{Vg} \) where \( S_L, S_V \) are scale factors introduced due to local variability in \( L \) and \( V \). \( I_{Lg} \cdot I_{Vg} \) corresponds to the subthreshold leakage as a function of global variations.

\[
I_{sub} = E[I_{sub}] = S_L \cdot S_V \cdot I_{Lg} \cdot I_{Vg}
\]

\[
S_L = e^{\frac{L_{gg}^2}{2} \cdot \frac{\sigma_{Lg}^2}{\sigma_{Lg}^2}} \cdot e^{\frac{1}{2} \left( e^{\frac{L_{gg}^2}{2}} \cdot \frac{\sigma_{Lg}^2}{\sigma_{Lg}^2} \right) - 1}
\]

\[
S_V = e^{\frac{V_{gg}^2}{2} \cdot \frac{\sigma_{Vg}^2}{\sigma_{Vg}^2}} \cdot e^{\frac{1}{2} \left( e^{\frac{V_{gg}^2}{2}} \cdot \frac{\sigma_{Vg}^2}{\sigma_{Vg}^2} \right) - 1}
\]

\[
S_{I_{Lg}, I_{Vg}} = I_{sub, nom} \cdot e^{\frac{I_{Lg}^2 + c I_{Vg}^2}{c I_{Lg}^2}} \cdot e^{\frac{I_{Vg}^2}{c I_{Vg}^2}}
\]

EQ9 provides the average value of subthreshold leakage for a unit width device. To compute the total chip subthreshold leakage, we need to perform a weighted sum of the leakages of all devices by considering the device widths to be the weights. For complex gates (transistor stacks, registers), a scale factor \( (k) \) model [6] is used to predict the effect of the total device width.

\[
I_{c, sub} = S_L \cdot S_V \cdot \sum_d \left( W_d \cdot k \right) \cdot I_{Lg} \cdot I_{Vg}
\]

Here the term \( \sum_d (W_d \cdot k) \cdot I_{Lg} \cdot I_{Vg} \) represents the chip level subthreshold leakage as a function of the global process parameters \((I_{Lg}, I_{Vg})\).

### 2.2 Gate Leakage

When the oxide thickness of a device is reduced there is an increase in the amount of carriers that can tunnel through the gate oxide. This phenomenon leads to the presence of gate leakage current \( I_{gate} \) between the gate and substrate as well as the gate and channel. \( I_{gate} \) is linearly dependent on the area of the device and has a highly exponential relationship with the oxide thickness \( (T_{ox}) \). Since the variation in \( T_{ox} \) has by far the greatest impact on gate leakage, we model \( I_{gate} \) as:

\[
I_{gate} = I_{gate, nom} \cdot e^{f(T_{ox})}
\]

From circuit simulations, we found that it is sufficient to express \( f(T_{ox}) \) as a simple linear function. A suitable value for a single parameter \( t \), efficiently captures the highly exponential relationship. Let \( T_{ox} = T \) and using EQ3, we again decompose \( T \) into global \((T_g)\) and local \((T_l)\) components.

\[
I_{gate} = I_{gate, nom} \cdot e^{-(T_g/T_l) \cdot t}
\]

\[
I_{l} = I_{gate} = I_{gate, nom} \cdot e^{-(T_g/T_l) \cdot t}
\]

Based on the widths of the devices, the chip level gate leakage can be calculated in a similar manner as the subthreshold leakage:

\[
I_{c, gate} = S_T \cdot \sum_d \left( W_d \cdot I_{l} \right)
\]

### 2.3 Total Leakage

The total leakage is the sum of the subthreshold and gate leakage currents of all the devices. In EQ10 and EQ14 we note that \( I_{Lg}, I_{Vg} \) and \( I_{l} \) are shared by all the devices on the chip. Hence we can write the equation for total chip leakage as:

\[
I_{c, tot} = \sum_d \left( W_d \cdot \left( I_{Lg} \cdot I_{Vg} \cdot S_T \cdot I_{l} \right) \right)
\]

This equation can be used to calculate the total leakage for different types of devices such as NMOS/PMOS and low/high-Vth transistors. The differences will be in the fitting parameters and the scale factor \( k \). The sum total over all devices gives the total leakage of the chip.

### 3 Yield Analysis

Traditional parametric yield analysis of high-performance integrated circuits is done using the frequency (or speed) binning method [12]. For a given lot, each chip is characterized according to its operating frequency and figuratively placed in a particular bin according to this value. As was illustrated in Figure 1, due to the inverse correlation between leakage and circuit delay chips in the “fast” corner produce vast amounts of leakage current compared to the other chips. In current technologies this is a major concern since a significant number of these chips leak more than the acceptable value and must be discarded [13].
Thus, parametric yield loss is exacerbated since dies are lost at both the low and high speed bins, further narrowing the acceptable process window.

In this section we describe a method to calculate the yield of a lot when both frequency and power limits are imposed. We first show that chip frequency is most strongly influenced by global gate length variability and hence, as is standard industry practice, each frequency bin corresponds to a specific value of \( L_g \). We then compute the yield due to the imposed leakage limit on a bin-by-bin basis.

### 3.1 Frequency Dependence on Process Parameters

In principle processor frequency depends on many process parameters, such as gate length, doping concentration, and oxide thickness. However, we demonstrate from SPICE simulations that circuit delay is primarily impacted by gate length variations. For this purpose, we simulated a 17-stage ring oscillator for different process conditions using the BPTM 100nm process technology as shown in Figure 2. At this point, we restrict our analysis to the delay impact of global variations. Although local variations also impact the circuit delay, their effect tends to average out over a circuit path which lessens the impact as compared to global variations [14]. The impact of local variations will be considered in future extensions of this work. From the plot in Figure 2, we see that variations in \( L_g \) significantly influence the delay of the ring oscillator (about \( \pm 15\% \)). Variations in \( T_g \) and \( V_g \) have little or no impact on the delay and thus can be ignored. This is consistent with current practices where a one-to-one correspondence is often assumed between frequency bins and specific gate length values.

### 3.2 Yield Estimate Computation

We now discuss the method to compute the expected yield for a particular frequency bin based on an imposed leakage limit. For a particular bin, the value of \( L_g \) is available and using the expressions for \( I_{L_g,V_g} \) (EQ9) and \( I_{T_g} \) (EQ13) we rewrite the equation for total chip leakage EQ15 as follows:

\[
I_{tot} = A_g \cdot e^{-\left(\frac{V_g}{k}\right)} + A_s \cdot e^{-\left(\frac{T_g}{k}\right)}
\]

\[
A_s = \sum_d W_d \cdot \left( \frac{S_R}{k} \right) \cdot I_{sub, nom} \cdot e^{-\left(\frac{(L_g + c_s^2 g)}{k}\right)}
\]

\[
A_g = \sum_d W_d \cdot S_T \cdot I_{gate, nom}
\]

\[
k_s = -\left(\frac{c_s}{c_1}\right), \quad k_g = -\beta_1
\]

Here we simplified the notation for the fitting parameters and expressed this equation in terms of the new constants \( k_s \) and \( k_g \). The values for \( k_s \) and \( k_g \) are generally expressed in terms of \( \sigma_{V_g} \) and \( \sigma_{T_g} \). \( A_s \) represents the total chip subthreshold leakage at a value of \( L_g \) and includes the scale factors due to the local variability. Similarly, \( A_g \) represents the total chip gate leakage at a given value of \( L_g \). However, since \( I_{c\text{ gate}} \) is independent of \( L_g \), \( A_g \) is not influenced by changes in the value of \( L_g \). In a plot of total leakage vs. \( L_g \), we first compute \( A_s \) and \( A_g \) at particular values of \( L_g \) and then calculate the distribution of \( I_{tot} \) at each of these points.

For every device type, \( I_{tot} \) is the sum of two lognormal variables each of which represents a type of leakage current. By our formulation there is no parameter that affects both these terms simultaneously. Thus, we can consider these terms as independent random variables. We model the sum of this pair of lognormals as another lognormal random variable. Using the independence condition, we set the sums of current practices where a one-to-one correspondence is often assumed between frequency bins and specific gate length values.

### Figure 2. Comparison of the relative contribution of parameter variations on ring oscillator delay

![Plot of RO Delay vs. n-Sigma variation in process parameter](image)

By our formulation there is no parameter that affects both these terms simultaneously. Thus, we can consider these terms as independent random variables. We model the sum of this pair of lognormals as another lognormal random variable. Using the independence condition, we set the sums of current practices where a one-to-one correspondence is often assumed between frequency bins and specific gate length values.

\[
I_{tot} = X_1 + X_2
\]

\[
X_1 \sim LN(\log(A_s), \left(\frac{\sigma_{V_g}}{k}\right)^2), \quad X_2 \sim LN(\log(A_g), \left(\frac{\sigma_{T_g}}{k}\right)^2)
\]

\[
\mu_{I_{tot}} = \exp\left(\log(A_s) + \frac{1}{2} \left(\frac{\sigma_{V_g}}{k}\right)^2\right) + \exp\left(\log(A_g) + \frac{1}{2} \left(\frac{\sigma_{T_g}}{k}\right)^2\right)
\]

\[
\sigma_{I_{tot}}^2 = 2 \log(A_s) + \left(\frac{\sigma_{V_g}}{k}\right)^2 + \left[\exp(\sigma_{V_g}^2/k^2) - 1\right] + 2 \log(A_g) + \left(\frac{\sigma_{T_g}}{k}\right)^2 + \left[\exp(\sigma_{T_g}^2/k^2) - 1\right]
\]

EQ22 in the Appendix is then used to obtain the mean and variance \( (\mu_{N,I_{tot}}, \sigma_{N,I_{tot}}^2) \) of the normal random variable corresponding to this lognormal. From these values, we can express the PDF of the total leakage using the standard expression for the PDF of a lognormal random variable.

\[
\mu_{N,I_{tot}} = \frac{1}{2} \log\left(\frac{\mu_{I_{tot}}}{\sigma_{I_{tot}}^2}\right)
\]

\[
\sigma_{N,I_{tot}}^2 = \log\left(1 + \frac{\sigma_{I_{tot}}^2}{\mu_{I_{tot}}^2}\right)
\]

\[
PDF(I_{tot}) = \frac{1}{I_{tot} \cdot 2\pi \sigma_{N,I_{tot}}^2} \exp\left[-\frac{\left(\log(I_{tot}) - \mu_{N,I_{tot}}\right)^2}{2\sigma_{N,I_{tot}}^2}\right]
\]

Finally, to obtain exact yield estimates we require the quantile numbers for the lognormal distribution described by \( I_{tot} \), i.e., the confidence points of \( I_{tot} \) that correspond to the specified leakage limit. Since the exponential function that relates \( LN(\mu_{I_{tot}}, \sigma_{I_{tot}}^2) \) with \( N(\mu_{N,I_{tot}}, \sigma_{N,I_{tot}}^2) \) is a monotone increasing function, the quantiles of the normal random variable are mapped directly to the quantiles of the lognormal random variable. Using this fact, we can write the expression for the CDF of a lognormal variable:

\[
CDF(I_{tot}) = F_{L}(I_{tot}) = \frac{1}{2} \left[1 + \text{erf}\left(\frac{\log(I_{tot}) - \mu_{N,I_{tot}}}{\sqrt{2\sigma_{N,I_{tot}}^2}}\right)\right]
\]

Here \( \text{erf} \) is the error function. By setting \( F_{L}(\cdot) \) to a particular confidence point on the normal distribution, we can obtain the corresponding value on the lognormal distribution (see Table 1). In Table 1 the 0-sigma point corresponds to the median of the distribution.

Conversely, if we are given a limit for \( I_{tot} \), we can use EQ19 to compute \( CDF(I_{tot}) \) and determine the number of chips that meet the leakage limit in a particular performance bin. Thus, in a given frequency bin and for a given leakage limit, \( [CDF(I_{tot}) \times 100]\% \) is the fraction of chips.
that meet both the speed and power criteria. Hence, by repeating this computation for each frequency bin that meets the frequency specification, the total percentage of chips that meet both the leakage and performance constraints can be found.

4 Results

In this section we use our analytical method from the previous section to predict the yield of a lot. Our circuit of choice is a fairly large 64-bit adder written for the Alpha architecture. We assume that all dies in the lot consist of this circuit and a small ring oscillator circuit is used to characterize the frequency of the chip with the variation in \( L_g \). We use the 100nm \((L_{\text{eff}}=60\text{nm})\) Berkeley Predictive Technology model [4] for our SPICE Monte Carlo simulations. We also employ a gate leakage model based on the BSIM4 equations [10]. The variability numbers for \( \Delta L_{\text{eff}}, \Delta V_{th,N,	ext{sub}} \) and \( \Delta T_{ox} \) are based on estimates obtained from an industrial 90nm process.

We first present a quantitative comparison between SPICE data and our analytical method. In Table 2 we consider three cases: (a) No variability in any parameter (b) Only die-to-die variability and (c) Both within-die and die-to-die variability in all three parameters. The middle three columns correspond to the sigma variation values corresponding to each parameter. Thus, for the case when both types of variability are present, the global variability values for all three parameters are set to \( \pm \sigma \) from the nominal while the local variability is set to be \( \pm \pm \sigma \). We see from this table that for all the cases the difference between the experimental data and the analytical expressions is less than 5%. Further, we note that the presence of local variability increases the amount of total chip leakage by about 15%.

Figure 3 gives the scatter plot for 2000 samples of the total circuit leakage generated using SPICE. The y-axis in the plot has been normalized to the sample mean of the leakage currents. We see that for a \( \pm 3\sigma \) variation in \( L_g \) there is a 14X spread in the leakage. Additionally, for a given \( L_g \), there is a wide “local” distribution in leakage. For instance, given \( L_g = 0 \sigma \), the normalized value of total circuit leakage is between 0.5 and 1.7. In EQ16, we observe that even for small values of \((V/\kappa_c)\) and \((T/\kappa_t)\), the exponential terms increase rapidly and contribute a larger portion to the total leakage value. As a result, the distribution in \( V_g \) and \( T_g \) (for each value of \( L_g \)), produces a band-like curve for the scatter plot of total circuit leakage (instead of a single curve). This is significant since for a given value of \( L_g \) (and hence a given operating frequency), a large portion of the chips may be about 3X the nominal leakage value. A chip that operates at an acceptable frequency may still have to be discarded because the variability in \( V_g, T_g \) pushes its leakage consumption over the tolerable limit. Thus, we see that the secondary variations \( V_g, T_g \) play a major role in determining the yield of a lot.

In Figure 4 we superimpose the analytically computed sigma contour lines on top of the same leakage scatter plot. For each value of \( L_g \) we calculate \((\mu_{N,\text{tot}}, \sigma_{N,\text{tot}})\) and then use Table 1 to construct the contour lines. From the plot we see that there are a fair number of samples “outside” the 1\sigma range. This is especially true for gate lengths close to the nominal. For shorter channel lengths, since the contour value is quite large there are only a small number of chips outside this range. For larger channel lengths, since the absolute value of the leakage is quite small, there are practically no chips outside the 2\sigma range.

We now present an example calculation for the yield. For the lot presented here, we impose a frequency limit of \(+1\sigma\) and a normalized power limit \((P_{lim})\) of 1.75. This is indicated in Figure 5. Further, the frequency bins are specified to be at the \( L_g \) n-sigma boundaries. First, we see that due to the performance (frequency) limit all chips that operate at frequencies smaller than the \(+1\sigma\) value are discarded. As we can see from the plot, although all of these chips meet the power criteria they are discarded since they are “too slow”. Next, we proceed bin-by-bin and calculate the yield for each bin. To illustrate the yield computation, we present the numbers for only the cases when \( L_g = [\pm 3\sigma, \pm 2\sigma, \ldots, +1\sigma] \). For each such \( L_g \) we calculate the CDF values using EQ16-EQ19. [CDF\((I_{\text{tot}}) \times 100\%\) is the number of good chips that satisfy both the power and performance criteria. Table 3 summarizes these CDF numbers for three different values of \( P_{lim} \).

![Figure 3. Scatter plot showing the distribution of the total circuit leakage](image)

![Figure 4. Scatter plot of total circuit leakage with the sigma contour lines added](image)
assumes independence of the limiting factors of power and performance constraints are imposed. We presented an example calculation for yield that shows the compounded loss that occurs due to chips that operate at low frequencies as well as chips that produce excessive leakage current in scaled CMOS circuits based on compact current model for sub-0.18um CMOS, 2002.

For the random variable $Z = h(X) = e^{-(X/a_1)}$ where $X$ is a zero mean normal random variable, it is possible to obtain closed-form expressions for the mean and variance.

$$E[Z] = \mu_Z = \left[1 + \frac{2\sigma_y^2}{\sigma_x^2} + \frac{\mu_x}{\sigma_x^2}\right] e^{\mu_x/\sigma_x^2}$$

$$E[Z^2] = \sigma_Z^2 = \left[1 + \frac{4\sigma_y^2}{\sigma_x^2}\right] e^{\mu_x/\sigma_x^2} + \frac{\mu_x}{\sigma_x^2} \sigma_Z^2$$

 Conversely, given the values for the mean and variance of the Lognormal random variable $(\mu_y, \sigma_y^2)$, we can compute the mean and variance of the corresponding Normal random variable to obtain $(\mu_x, \sigma_x^2)$. (We have normalized $Y$ by setting $a_1 = -1$).

$$\mu_x = \frac{1}{2} \log\left[\frac{\mu_y^2}{(\sigma_y^2 + \mu_y^2)}\right]$$

$$\sigma_x^2 = \log\left[1 + \left(\frac{\sigma_y^2}{\mu_y^2}\right)^2\right]$$

Using the values for $(\mu_y, \sigma_y^2)$ we can express the mean and variance of $Y$ in closed form.

$$\mu_y = e^{\left(-\frac{\mu_x^2/\sigma_x^2}{2\sigma_y^2}\left(\frac{\sigma_y^2}{\sigma_x^2}\right)\right)}$$

$$\sigma_y^2 = e^{\left(-\frac{2\mu_x/\sigma_x^2}{2\sigma_y^2}\left(\frac{\sigma_y^2}{\sigma_x^2}\right)\right) - 1}$$

(EQ 21)

7 Acknowledgements

We would like to thank Vivek De from Intel for providing us with Figure 1. This work was supported in part by NSF, SRC, GSRC/DARPA, IBM, and Intel.

5 Conclusions

In this paper we presented an analytical framework that provides a closed-form expression for the total chip leakage current as a function of relevant process parameters. Separate scaling factors (associated with each parameter) express the effects of local variability. Using this expression we estimate the yield of a lot when both power and performance constraints are imposed. We presented an example calculation for yield that shows the compounded loss that occurs due to chips that operate at low frequencies as well as chips that produce excessive amounts of leakage. Our method exemplifies the need to consider both limiters when calculating the yield of a lot.

6 Appendix

Given a Normal (Gaussian) random variable $X \sim N(\mu_x, \sigma_x^2)$, the PDF of $X$ is given by [11]:

$$PDF(x) = f_X(x) = \frac{1}{\sqrt{2\pi}\sigma_x^2} \exp\left[-\frac{(x-\mu_x)^2}{2\sigma_x^2}\right]$$

(EQ 20)

The function $Y = g(X) = e^{-(X/a_1)}$ is a Lognormal random variable.

Table 3. Yield for different values of $P_{lim}$ and $L_g$

<table>
<thead>
<tr>
<th>$P_{lim}$</th>
<th>$L_g$ b-sigma</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>-3</td>
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<tr>
<td>1.00</td>
<td>6.4</td>
</tr>
<tr>
<td>1.75</td>
<td>43.6</td>
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<tr>
<td>2.50</td>
<td>76.0</td>
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</table>